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Yuanta Group Recognized for Sustainable Management, Retaining the “Outstanding” Certification in Corporate Governance System Assessment

Based on the corporate culture of “establishing the best example of corporate governance and seeking the best interests of shareholders,” Yuanta Group implements sustainable governance and strengthens board diversity policy, accountability system, and the emphasis of responsibilities at all levels. Yuanta Financial Holding Company (FHC) and subsidiaries Yuanta Securities and Yuanta Bank have been highly recognized by the Taiwan Corporate Governance Association in its “CG6014 (2023) Corporate Governance System Assessment,” and have once again been awarded the “Outstanding” certification for the following six indicators: “Protection of Shareholders’ Interests and Equal Treatment,” “Respect for Stakeholders’ Rights and Interests,” “Information Transparency and Disclosure,” “Fulfillment of the Board of Directors’ Responsibility,” “Sustainable Development and Governance,” and “Corporate Governance Culture.”

Yuanta FHC is committed to meeting the highest standards of corporate governance in the financial sector, with over 40% of the directors of the board being independent. In addition to the Audit Committee and the Remuneration Committee, Yuanta FHC has established functional committees such as the Nominating Committee, the Sustainable Development Committee, and the Risk Management Committee. In order to improve sustainable governance, Yuanta FHC has set up a Corporate Sustainability Office and a Chief Sustainability Officer under the Sustainable Development Committee, demonstrating the company’s determination to realize sustainable development.

As an international benchmark for sustainable development, Yuanta FHC respects

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the rights of stakeholders and builds transparent communication channels. In response to the policy of gender diversity of directors, Yuanta FHC aims to increase the number of female directors by one-third by the time of re-election of directors in 2025.

Under the self-expectation of “no best, only better,” Yuanta Securities and Yuanta Bank, together with their parent company, continue to improve corporate governance, reinforce the board diversity policy, carry out the “Procedures for Recommendation of Candidates for Board of Directors and Independent Directors,” and satisfy the various information needs of stakeholders. Moreover, Yuanta Securities and Yuanta Bank have extended the accountability system required by the competent authorities to encourage all levels of the company to emphasize their responsibilities. The independent directors actively participated in a number of important internal meetings and implemented the “Guide, Improve, and Reward” board supervision cycle, showing the ultimate corporate governance behavior. Yuanta Securities and Yuanta Bank also continue to refine the pace of their corporate governance drive by participating in external corporate governance assessments. Furthermore, Yuanta Securities and Yuanta Bank were ranked among the top 25% of Taiwan’s securities firms and banks in the Financial Supervisory Commission’s second Sustainable Finance Evaluation.

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Photo: Yuanta FHC, Yuanta Securities, and Yuanta Bank have once again been awarded the Outstanding Certification of the Corporate Governance System Assessment.